



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/774,243         | 01/31/2001          | Yuhong Zhou           | 036870-508             |



009629  
MORGAN, LEWIS & BOCKIUS  
1800 M STREET NW  
WASHINGTON, DC 200365869

CONFIRMATION NO. 9846

## FORMALITIES LETTER



\*OC00000005843282\*

Date Mailed: 03/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$900.
  - \$765 for 85 total claims over 20.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1320.

*A copy of this notice **MUST** be returned with the reply.*

NK

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/11/2001 HMBD11 00000997 09774243  
01 FC:201 355.00  
02 FC:203 765.00  
03 FC:204 135.00  
04 FC:205 65.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Yuhong ZHOU, et al.

Application No.: 09/774,243

Filed: January 31, 2001

For: MUCIN SYNTHESIS INHIBITORS

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Group Art Unit: 1614

Examiner: Unassigned

Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

1. This replies to the Notice to File Missing Parts of Application mailed March 9, 2001.

A copy of the Notice To File Missing Parts of Application-Filing Date Granted is enclosed.

2. Declaration Or Oath

☒ [X] No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney

☐ [ ] The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

☐ [ ] The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

06/11/2001 MABDI1 00000097 09774243

05 FC:215

55. 0P

## 3. English Translation of Non-English Language Papers

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document

## 4. Small Entity Statement(s)

- ☒ Small entity status under C.F.R. § 1.27 is asserted for the present application.

## 5. Fee Calculation

|   |              |              |  |                  |
|---|--------------|--------------|--|------------------|
|   |              |              | Basic Fee:<br>Patent Application-\$710.00<br>Design Application-\$310.00 | \$710.00         |
|   | Number Filed | Number Extra | at a Rate of   |                  |
| Total Claims  | 105 - 20 =   | 85           | \$18.00 each =   | +\$1530          |
| Independent Claims  | 3 - 3 =      | 0            | \$78.00 each =   | +                |
| Multiple dependent claim(s), if any   |              |              | \$270.00   | +\$270.00        |
| Missing Parts Surcharge Fee   |              |              | \$130.00   | +130.00          |
| <b>SUB-TOTAL =</b>  |              |              |  | <b>\$2640.00</b> |
| Reduction by 1/2 for filing by a small entity   |              |              |  | - \$1320.00      |
| <b>SUB-TOTAL =</b>  |              |              |  | <b>\$1320.00</b> |
| Fee For Application Filed With A Non-English Specification (37 CFR 1.17(k) and 1.52(d)) |              |              | \$130.00   | +                |
| Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d))            |              |              | \$130.00   | +                |
| <b>TOTAL FILING FEE =</b>   |              |              |  | <b>\$1320.00</b> |

## 6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

[X] Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

| <u>Total months requested</u> | <u>Fee for extension</u> | <u>[fee for Small Entity]</u> |
|-------------------------------|--------------------------|-------------------------------|
| [X] one month                 | \$ 110.00                | \$ 55.00                      |
| [ ] two months                | \$ 380.00                | \$190.00                      |
| [ ] three months              | \$ 870.00                | \$435.00                      |
| [ ] four months               | \$1,360.00               | \$680.00                      |

Extension of time fee due with this request: \$ 55.00

If an additional extension of time is required, please consider this a Petition therefor.

[X] Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## 7. Fee Payment

The total fee due is: Completion Fees \$1320.00  
Extension Fees \$ 55.00

Total Fee Due \$1375.00


[X] A check in the amount of \$ 1415.00 representing the basic filing fee of \$355.00 additional claims fee of \$900.00, missing parts fee of \$65.00, one month extension of time fee \$55.00 and an the Assignment fee of \$40.00 are enclosed.

**Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

8. Additional papers enclosed.
- ☐ Preliminary Amendment
  - ☐ Information Disclosure Statement
  - ☐ Form PTO-1449
  - ☐ Citations
  - ☐ Declaration of Biological Deposit
  - ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

  
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Lawrence J. Carroll  
Reg. No. 40,940

Date: June 8, 2000

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